

# FTA

FEDERAL TRANSIT ADMINISTRATION

## **2018 Joint SSO and RTA Workshop**

### **Office of Transit Safety and Oversight**

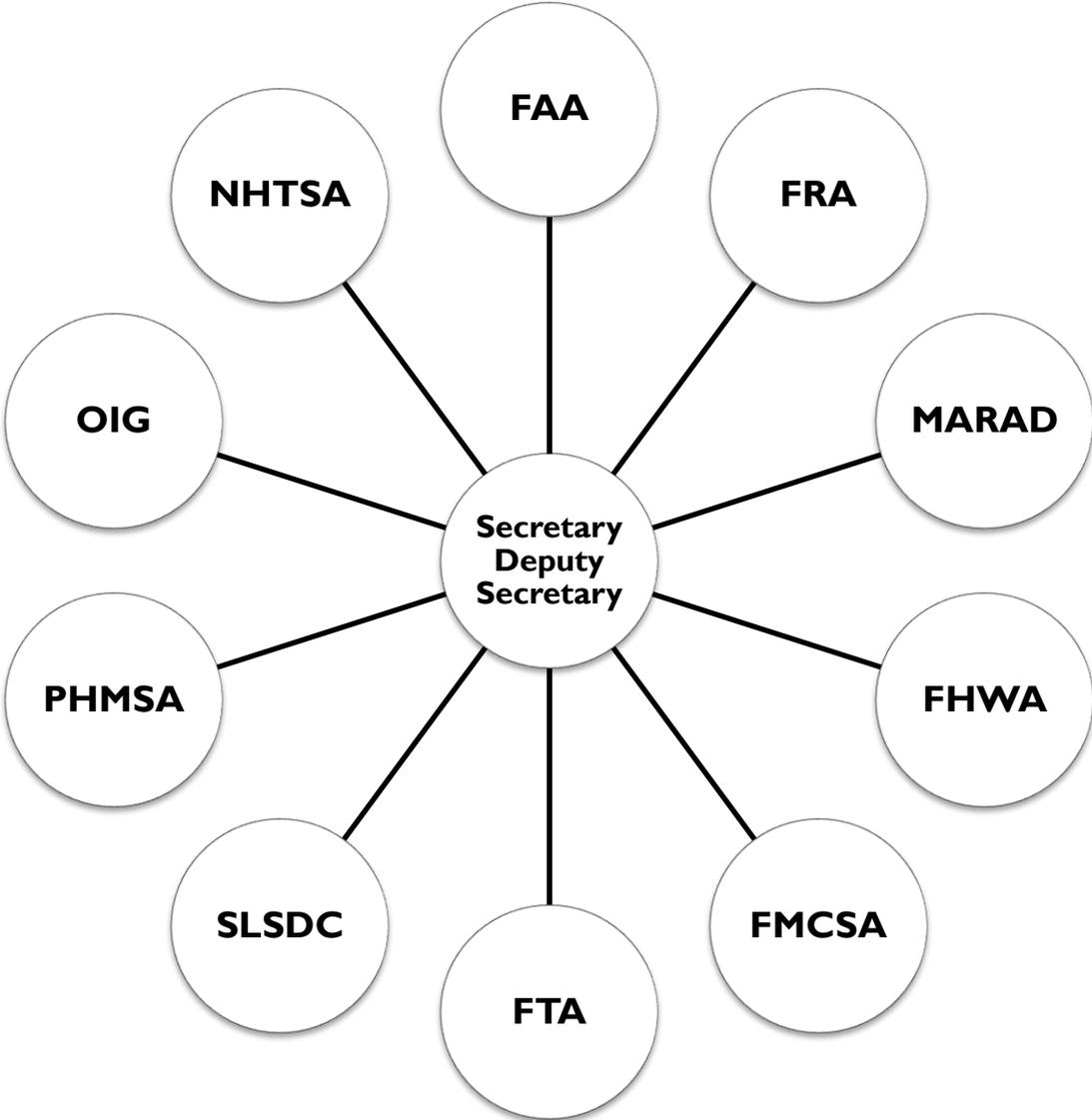
**Patrick D. Nemons**  
**Office of Transit Safety and Oversight**  
**Federal Transit Administration**



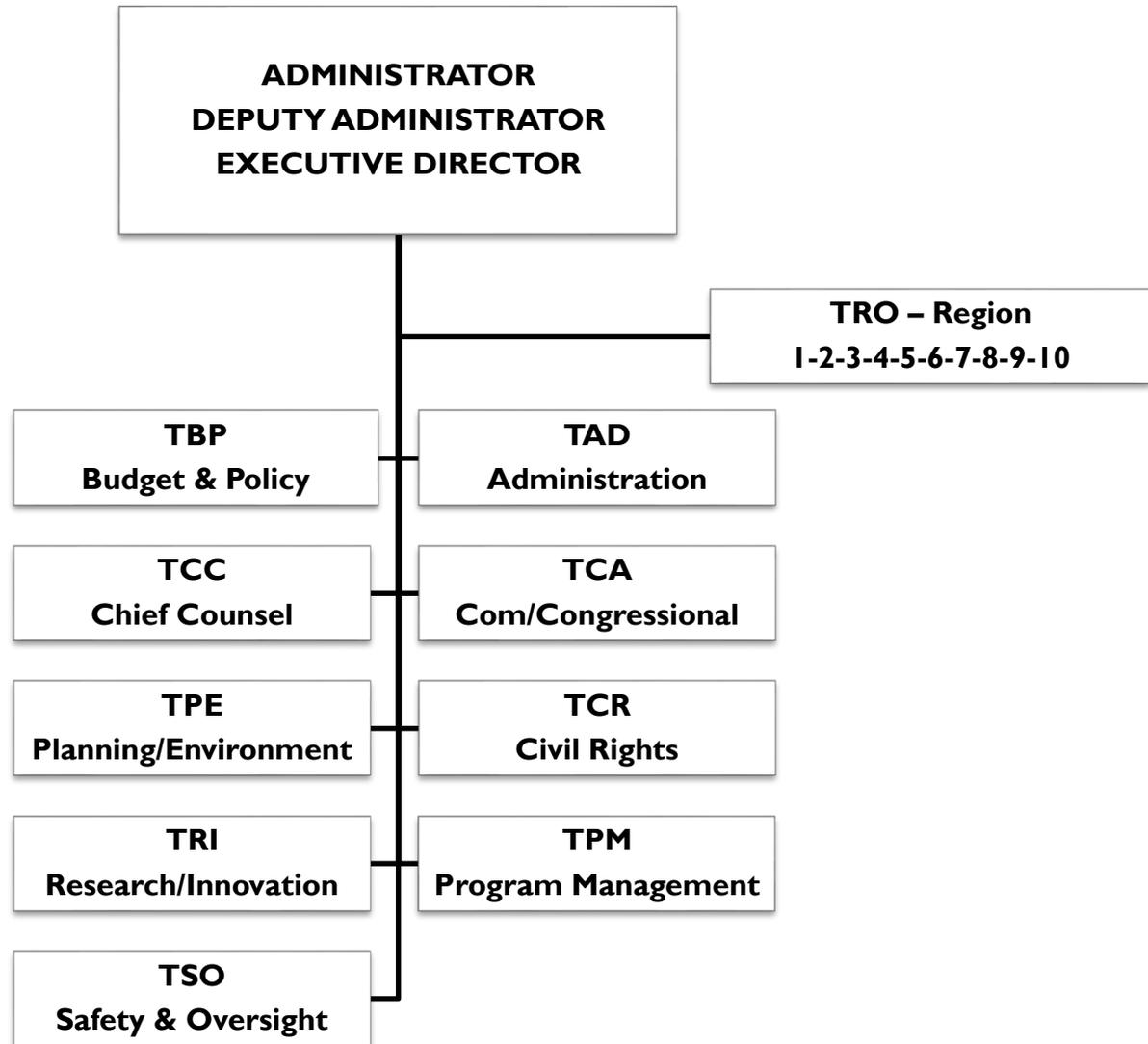
U.S. Department of Transportation  
Federal Transit Administration

**October 23, 2018**

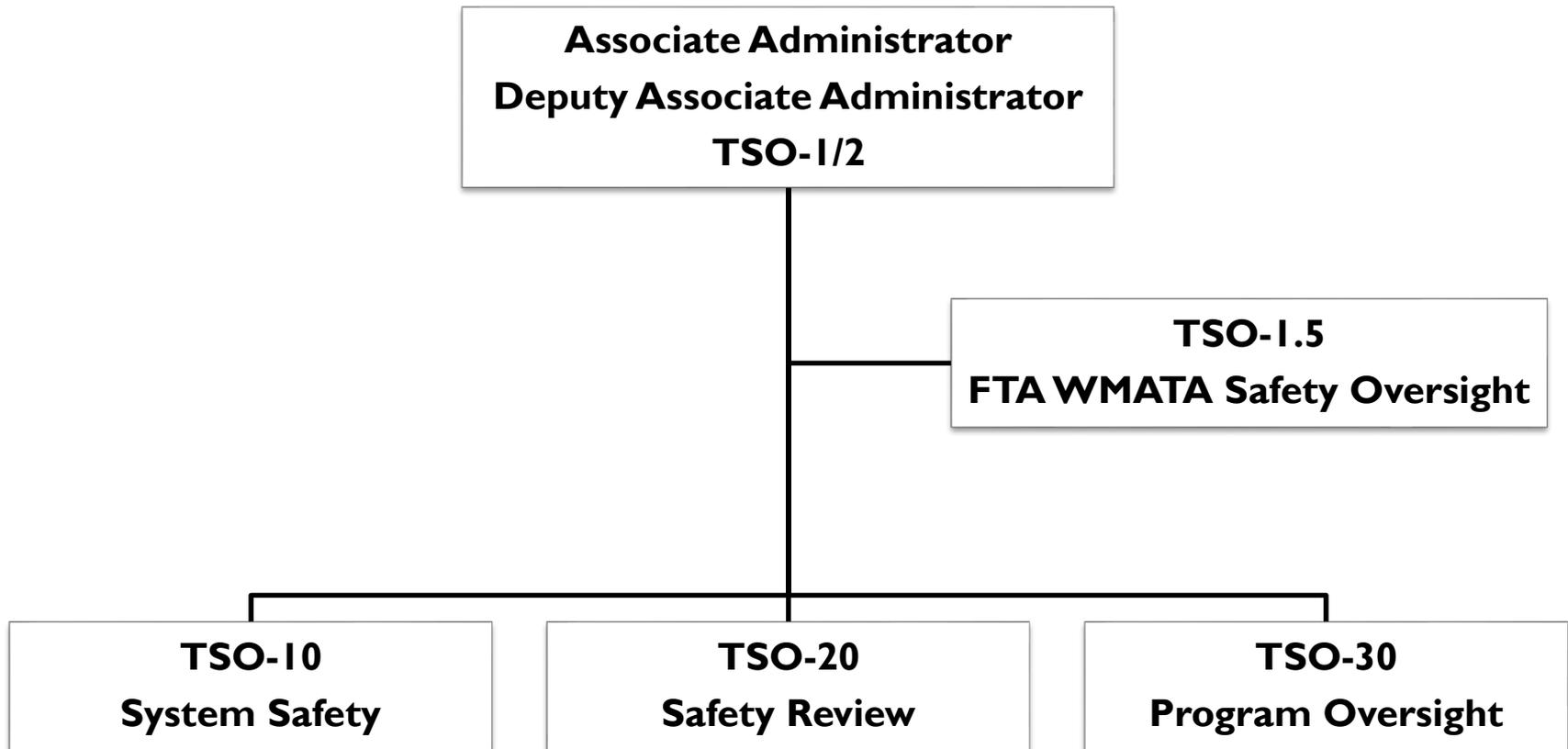
# U.S. Department of Transportation (USDOT)



# Federal Transit Administration (FTA)



# Transit Safety and Oversight (TSO)



# Office of the Associate Administrator

- Office wide coordination and leadership
- Support functions
  - Budgeting
  - Stakeholder engagement

# FTA WMATA Safety Oversight

- Carries out FTA's temporary and direct safety oversight of the Washington Metropolitan Area Transit Authority's Metrorail System
  - Inspections
  - Corrective Action Plans
  - Investigations
  - Tri-State Oversight Committee (TOC) under FWSO leadership carries out oversight related to Emergency Management, Safety Certification, and Triennial Audits
- Leading a transition process in which
  - FTA will transfer knowledge to the Washington Metrorail Safety Commission (WMSC)
  - WMSC will shadow FTA in the field
  - FTA will shadow WMSC and validate that the WMSC has the capacity to carry out its safety oversight responsibilities pursuant to federal transit law and its own program standard

# Office of System Safety

- Design, development, implementation, and evaluation of safety policies, rules, standards, research, technical assistance, guidance and safety education based in SMS methods and principles
  - Risk-based safety oversight
- Current Efforts and Initiatives
  - Implementation of PTASP and Training rules
  - SMS pilots
  - TRACS
  - Building data collection, management, and analysis capacity to support risk-based oversight and program evaluation

# Office of Safety Review

- Oversee compliance with the State Safety Oversight Program Rule and Prevention of alcohol misuse and prohibited drug use in Transit Operations
  - Implements these actions through audits, delivery of program guidance, and annual training workshops
- Supports the Emergency Management program and the Secretary's National Response Program
  - Executes mission essential functions (MEFs) and sustain essential government services (SEGs) during periods of disrupted operations from normal, alternative duty, and/or continuity of operation (COOP) locations, depending on the scope and potential duration of an event
- FTA supports the Secretary's responsibilities under the National Response Framework (NRF), Emergency Support Function - I (ESF-I) Transportation. Regional Emergency Transportation Representative ( RETREP) coordination of disaster and special events planning efforts between DOT and Federal, State, local, Tribal and Territorial, and private sector emergency planners

# Office of Program Oversight

- Conducts oversight activities to help ensure federal funds are used for their intended purpose and in compliance with regulatory and statutory requirements.
  - Comprehensive Reviews (Triennial & State Management)
  - Specialized Reviews (Procurement & Financial)
  - Training and Technical Assistance
- Current efforts and initiatives
  - 220 comprehensive reviews planned for FY19
  - Continued review streamlining
  - New avenues for workshop delivery
  - New oversight tracking system
  - Quality Assurance program

# Questions

Patrick D. Nemons  
Special Assistant  
Office of Transit Safety and Oversight  
Federal Transit Administration  
202.366.4986  
[Patrick.Nemons@dot.gov](mailto:Patrick.Nemons@dot.gov)